Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001832808
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name Phone

E-Mail Address

## 144: Issuer Information

Name of Issuer Upstart Holdings, Inc.

SEC File Number 001-39797

2950 S. DELAWARE STREET, SUITE 300

Address of Issuer

SAN MATEO
CALIFORNIA

94403

Phone (650) 204-1000

Name of Person for Whose Account the Securities are To Be Sold Datta Sanjay

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

## 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value		Approximate Date of Sale	Name the Securities Exchange
Common	Charles Schwab & Co., Inc. 3000 Schwab Way Westlake TX 76262	3200	44288.00	81386429	05/01/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the	Date you	Nature of	Name of	Is	Date	Amount of	Date of	Nature of
Class	Acquired	Acquisition	Person from	this	Donor	Securities	Payment	Payment *
	_	Transaction			Acquired	Acquired		

Whom	a		
Acquired	Gift?		

05/01/2023 Employee Stock Option Exercise Common

Upstart

3200

**Broker Payment** 05/01/2023 for Cashless Exercise

Amount of

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Date of Name and Address of Seller **Title of Securities Sold Securities Gross Proceeds** Sale Sold SANJAY DATTA 2950 S. Delaware Street, Suite 300 Upstart Holdings, Inc. 04/24/2023 3200 48000.00 San Mateo CA 94403

## 144: Remarks and Signature

See attached pdf for additional securities sold during past 3 Remarks

months.

05/01/2023 Date of Notice

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 08/30/202210b5-1

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature SANJAY DATTA

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)